

Attorney's Docket No.: 17315-002001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: St. Goar et al. Art Unit: 3732

Serial No.: 10/635,776 Examiner: Cary O'Connor

Filed : August 5, 2003 Conf. No. : 1704

Title : METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Forms PTO-1449 (1 page), and a return postcard for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Fred C. Hernandez Reg. No. 41,832

PTO Customer No.: 20985 Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

Facsimile: (202) 626-7796 email: fhernandez@fr.com

CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 12, 2006

Date of Deposit

Signature

Kelly M. Smith

Typed or Printed Name of Person Signing Certificate

Attorney's Docket No.: 17315-002001

1 5 2006 THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: St. Goar et al. Art Unit: 3732

Serial No.: 10/635,776 Examiner: Cary O'Connor

Filed: August 5, 2003 Conf. No.: 1704

Title : METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (1 page) is provided herewith.

The documents cited on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	June 12, 2006	
Date of Deposit	Keller U	. Smith
Signature		

Kelly M. Smith
Typed or Printed Name of Person Signing Certificate

Applicant: St. Goar et al. Attorney's Docket No.: 17315-002001

Serial No.: 10/635,776 Filed: August 5, 2003

 $Page \hspace{20pt} : \hspace{2pt} 2 \hspace{2pt} of \hspace{2pt} 2$

any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Respectfully submitted,

Fred C. Hernandez Reg. No. 41,832

PTO Customer No.: 20985 Fish & Richardson P.C.

12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070

Facsimile: (202) 626-7796 email: fhernandez@fr.com

JUN 1 5 2006

Sheet	1	of	1

Substitute Form PTO-1449

U.S. Bepartment of Commerce (Modified)

U.S. Bepartment of Commerce and Trademark Office

Attorney's Docket No. 17315-002001

Application No. 10/635,776

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant

St. Goar et al.

Group Art Unit 3732

Filing Date August 5, 2003 (37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,143,024	11/07/2000	Campbell et al.	623	2.36	06/04/98

Foreign Patent Documents or Published Foreign Patent Applications									
Examiner	Desig.	Document	Publication	Country or			Trans	Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No	
		•							
					-				

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document		
		<u> </u>		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with